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#77
6/17/03
PATENT

Customer No. 22,852
Attorney Docket No. 07738.0155

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Leslie CHEONG et al.) Group Art Unit: 3624
)
Application No.: 09/579,787) Examiner: Jagdish Patel
)
Filed: May 26, 2000)
)
For: METHOD AND APPARATUS FOR)
SURROGATE CONTROL OF)
NETWORK-BASED ELECTRONIC)
TRANSACTIONS)

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement includes a certification as specified by Section 1.97(e).

Each document listed in this Information Disclosure Statement was first cited in a communication from the U.S. Patent and Trademark Office in a counterpart PCT

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application, and this Information Disclosure Statement is being filed within three months of the mailing date of that communication. Copies of the listed documents are attached.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.


Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
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Dated: June 6, 2003

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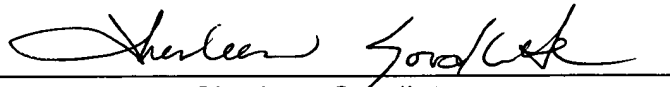


Application Serial No.: 09/579,787
Attorney Docket No.: 07738.0155

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Services under 37 C.F.R. § 1.8 on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on June 6, 2003
Date



Sherleen Goodlette

Attachments:

1. Information Disclosure Statement Under 37 C.F.R. § 1.97(c) (2 pgs)
2. Form PTO 1449 (1 pg)
3. Copies of 6 cited references
4. Post Card to Acknowledge Receipt

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